**Access the recorded webinar here:**
**https://attendee.gotowebinar.com/recording/3784528203051147779**

**Q&A: https://asprtracie.hhs.gov/documents/aspr-tracie-ta-coalition-financial-models-webinar-qa.pdf**

# ASPR TRACIE: Three Domains


- Self-service collection of audience-tailored materials
- Subject-specific, SME-reviewed "Topic Collections"
- Unpublished and SME peer-reviewed materials highlighting real-life tools and experiences


- Personalized support and responses to requests for information and technical assistance
- Accessible by toll-free number (1844-5-TRACIE), email (askasprtracie@hhs.gov), or web form (ASPRtracie.hhs.gov)


- Area for password-protected discussion among vetted users in near real-time
- Ability to support chats and the peer-to-peer exchange of user-developed templates, plans, and other materials

**ASPRtracie.hhs.gov**

**1-844-5-TRACIE**

**askasprtracie@hhs.gov**

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Webinar Objectives

- Learn about different HCC financial models
- Discuss financial models lessons learned, benefits, and challenges

# Virginia Healthcare Coalitions

- Six regional healthcare coalitions across Virginia
  - Follows Hospital Trauma Regions
- Geographically Diverse
- VDH emphasize Public / Private Partnerships
- Close relationship between Public Health and Hospital Preparedness program

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Financial Model Overview

- Virginia has always placed significant value in placing resources as close to the situation as possible
- VDH has stringent financial controls to ensure money is used appropriately in partnerships
- Virginia has three financial models in place:
  - 501c3
  - Fiscal Agent
  - Consolidation by State Hospital Association

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Key Benefits Experienced

- By partnering with existing systems, overhead is kept low
- Fiscal agents allow HCC to operate as separate entity, without having to run organizational infrastructure
  - Partnering with VDH EMS Councils allows for consistency between VDH funded regional entities, and leverages existing and new partnerships.
- Significant Autonomy of Coalitions

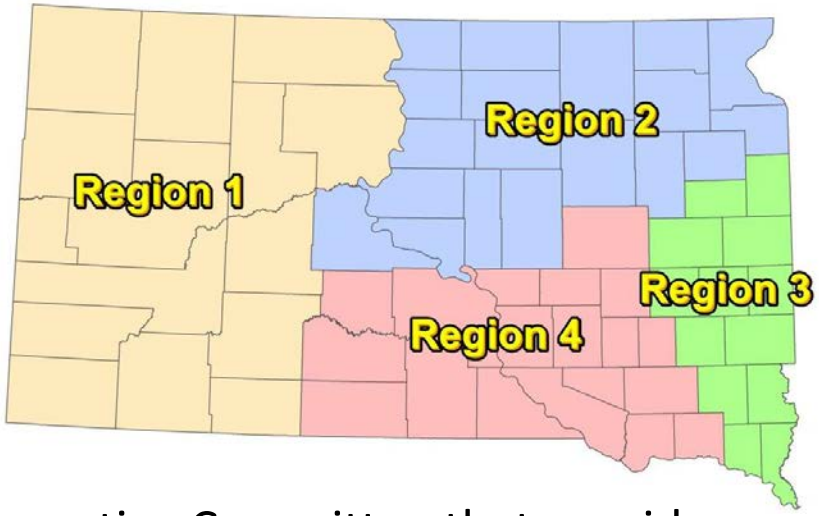
# Key Challenges Faced/ Issues/ Lessons Learned

- Public / Private partnerships are key
- Using existing regions and organizations leverages relationships already formed
- Fiscal independence is key to a healthy coalition.

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# South Dakota Healthcare Coalition (SDHC)

- One statewide healthcare coalition comprised of four regional healthcare coalitions for planning and responding to an event.




- Each Planning Region has an Executive Committee that provides leadership for the Region and budget decisions.

- Regional Fiduciary Agent from each Planning Region monitors reporting expenditures, grant requirements and compliance, and expends funds as directed by the Executive Committee.

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

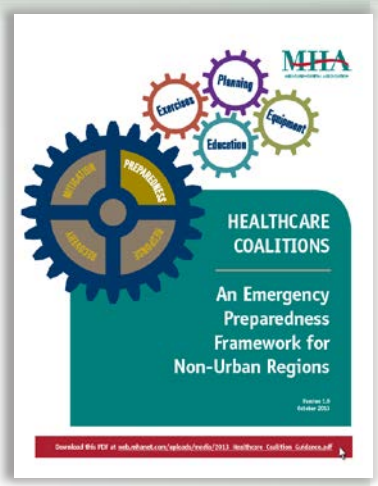
# Advantages/Benefits

- Efficient means for decision making.
- Supports the concept that each Region is unique yet promotes Statewide Projects.
- Promotes identification and meeting the needs of each Region.
- Accountability and Communication among the Executive Committee, DOH, and SDHC Membership.

# Disadvantages/Challenges

- Difficult to find an organization/individual(s) willing to take on additional duties.
- Discontinuation of base award grants means added duties for the fiduciary for reimbursement to SDHC members.

# Networks and Partnerships

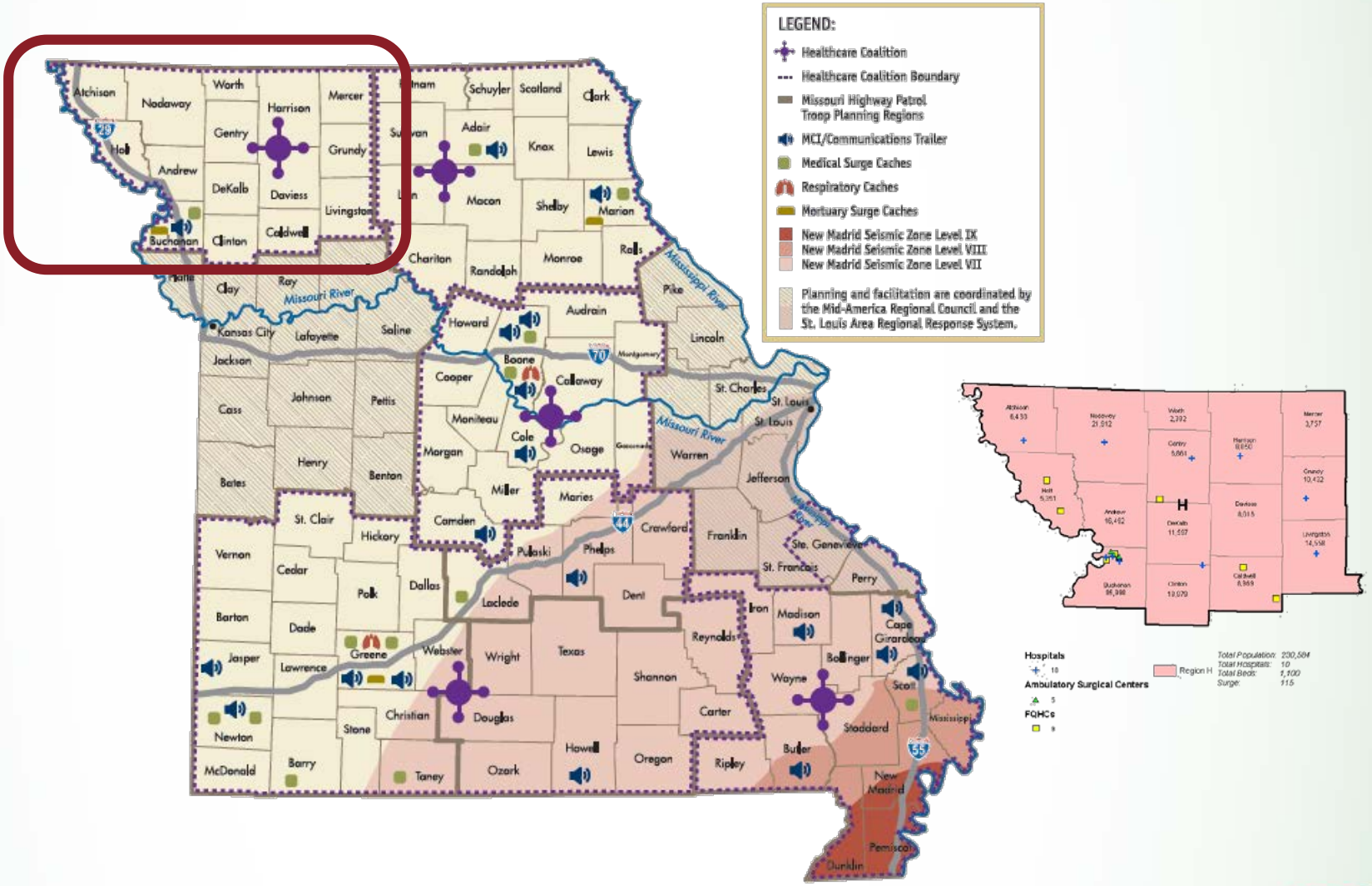



- Structure and Financial Model
  - Centralized through the hospital association
    - MHA as the fiduciary agent, convener and facilitator
  - Organized as five unique coalitions in rural Missouri
  - Standardized
    - Guidance
    - Plans
    - Purchases
    - Regional assets for communication, surge and continuity of operations

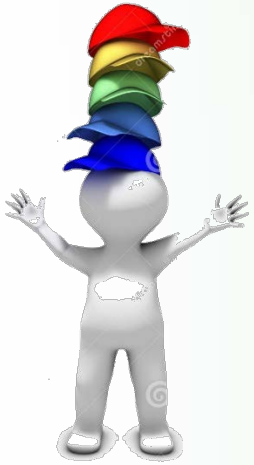
| Hospital Survey | 2010 | 2011 | 2012 | 2013 | 2014 | 2015 | 2016 | 2017 |
|---|---|---|---|---|---|---|---|---|
| Participate in a health care coalition | 43% | 69% | 85% | 91% | 92% | 91% | 90% | 95% |

Porth, L. (2015). *A comparison of regional health care structures for emergency preparedness.* http://scholarworks.waldenu.edu/cgi/viewcontent.cgi?article=1329&context=dissertations

# Rural America: Northwest Missouri



# Reflection




**Washoe Co**

**Andrea Esp**

Coordinato

# Inter-Hospital Coordinating Council (IHCC)

- Established in 1994
- Geographical Boundary:  Washoe County, NV
  - 6,600 square miles
  - Population of approximately 435,000
- 46 participating agencies
  - Hospitals, EMS, LTC, Dialysis, PH, EM, Home Health, Hospice, school districts, tribes, MRC, ARC, VA, Mental Health, National Guard, Donor Network, surgery centers
- Over 230 licensed healthcare and partner agencies with the region
- Coordination of trainings, exercises and plan development

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# IHCC Financial Model Overview

- Fiscal agent: Local health authority (LHA)
- $279,714: Project funding for BP1
  - Only ASPR funding
- Coalition leadership provides input and final approval of budget
- Coalition financial subcommittee approvals all expenditures and scope of work progress monthly
- Expenditures directed by yearly goals and HVA

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Key Benefits Experienced

- Continuity of core members
- Coalition has direct oversight
- Coalition members dedicate how funds will be spent
- Transparency across all levels
- Increased accountability of all members

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Key Challenges Faced/ Issues/ Lessons Learned

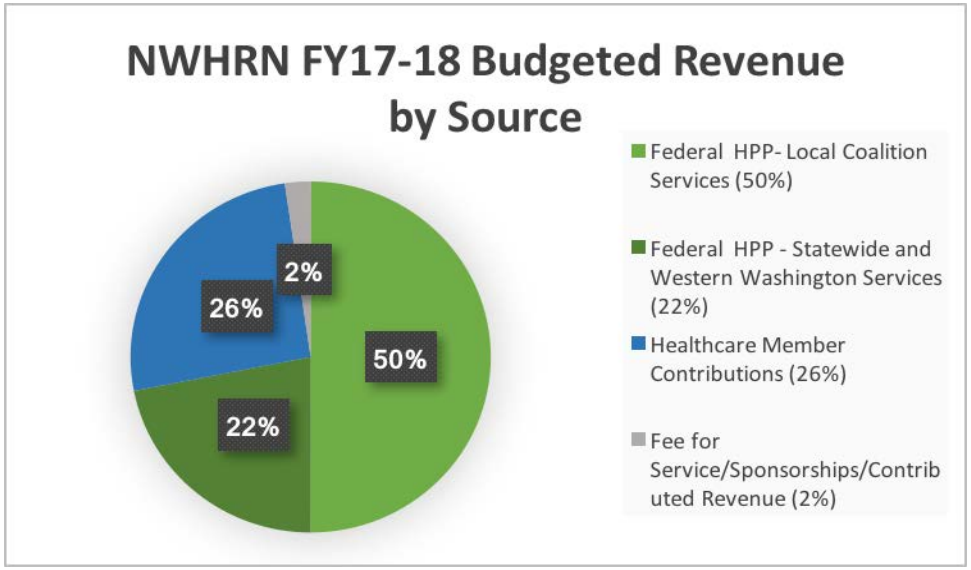
- New LHA internal processes needed to be developed
  - Administration buy-in
  - Slow bureaucratic processes
- Unable to receive donations
- Only one funding source

# About the Northwest Healthcare Response Network

- Non profit healthcare coalition serving Central Puget Sound, WA
- Serve the continuum of care and coordinate with public health, emergency management and private sector
- Serve the state and PNW region's medical services epicenter


ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# NWHRN Financial Model Overview




- Operating as a Washington State non-profit corporation and 501(c)3 since Jan 2014
- 1 of 6 coalitions in WA
- Subcontract for all HPP funding directly with DOH
- Healthcare member-contributor model
- All staff employed by NWHRN
- $ focuses on personnel for planning, training and exercise, not purchasing equipment

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Benefits

- Independent governance led and driven by healthcare in collaboration with public health and other partners
  - More “neutral” and able to be adaptive to healthcare needs beyond jurisdictional boundaries
- Mission and business purpose is for larger community benefit, not just grant requirements
- As 501c3, greatest flexibility to pursue diverse revenue sources
- Some flexibility in hiring and procurement processes

# Considerations and Lessons Learned

- As a small independent business:
  - Must implement and manage all of our own internal systems (IT, HR, Financial, Legal/Risk Management/Compliance, Program Operations)
  - Must maintain sufficient cash on hand to cover expenses (reimbursable grant) and maintain financial health as a small business
  - Start up costs can be significant depending on coalition size/budget

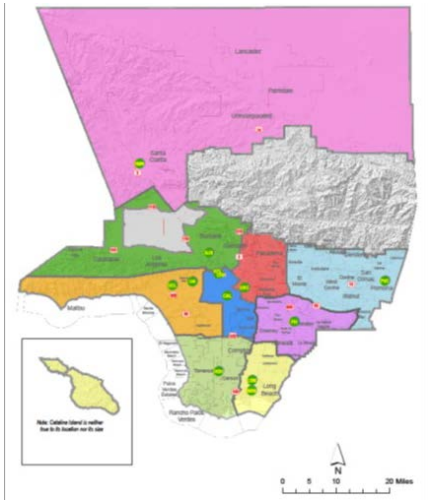
# Considerations and Lessons Learned cont'd

- Significant time and resources needed to generate and manage new revenue. Success requires:
  - Adequate business systems
  - Non-federal revenue to finance fundraising
  - Community visibility/awareness and commitment to mission
  - Ongoing demonstration of ROI and value and good stewardship of contributors
  - Engaged and strategic governance
- $$ comes with expectations and priorities that do not always align with grant priorities

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Los Angeles County HCC
# Disaster Coalition Advisory Committee

- One healthcare coalition based on MHOAC response model
- 10-14 million residents, commuters and visitors
- 4,000 sq miles of urban, rural and wilderness area
- 88 cities
- Coalition Representation
  - 102 hospitals
  - 4 Local Health/Mental Health Departments
  - 71 EMS providers (Private and Public)
  - 1 EMS Agency
  - 190+ dialysis centers (ESRD Network 18)
  - 700+ long term/intermediate care facilities (CAHF)
  - 160+ Community Health Center sites (CCALAC and ACN)
  - 2 professional organizations (LACMA and HASC)
  - 250+ Ambulatory Surgery Centers (CASA)
  - 800+ Home Health and Hospice
  - 2 MRCs and 1 hospital focused surge unit
  - 8 DMACs
  - 2 emergency management offices



+ Numbers are approximate since they change frequently

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Local Government Agency as the Fiscal Agent

- Department of Health Services (Government)
- Medical Health Operational Area Coordinator (MHOAC)
- Authorized in 1980 by California Health and Safety Code sec. 1797.153
- Program includes preparedness, response, recovery and mitigation functions consistent with the State Emergency Plan, to include at a minimum developing a medical and health disaster plan, policy and procedures with its partners
- Program encompasses seventeen functions and coordination activities to assure management of medical and health resources

# Key Benefits Experienced

- **Accountability**-Sound financial processes and controls are in place
- **Continuity**-Minimal overhead since staff is already in place
- **Consistency**-Reinforces existing system of planning, response and recovery i.e. MHOAC
- **Resiliency**-Additional funding resources not related to HPP or PHEP  have built stronger day to day activities

# Key Challenges Faced/ Issues/ Lessons Learned

- Slow bureaucratic processes with no latitude for adjustments- no flexibility
- Financial staff unfamiliar with grant requirements and deadlines
- Procurement, contract and hiring challenges
- Unable to receive donations

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

TRACIE
HEALTHCARE EMERGENCY PREPAREDNESS
INFORMATION GATEWAY

# Discussion with Panelists

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

Does your coalition have (or are seeking) non- Hospital Preparedness Program (HPP) funding sources?

Do you track in-kind time and materials in any way?

What features of your financial model makes hiring/ purchasing easier or more difficult?

# Question & Answer


ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

# Contact Us

**asprtracie.hhs.gov**

**1-844-5-TRACIE**

**askasprtracie@hhs.gov**

ASPR
ASSISTANT SECRETARY FOR PREPAREDNESS AND RESPONSE

TRACIE
HEALTHCARE EMERGENCY PREPAREDNESS
INFORMATION GATEWAY

# Back Up Slides

ASPR
ASSISTANT SECRETARY FOR
PREPAREDNESS AND RESPONSE

# Panelists

- **Patrick Ashley,** CEM, State Hospital Coordinator, Office of Emergency Preparedness, Virginia Department of Health
- **Terry Crammer,** Chief, Disaster Services, Los Angeles County EMS Agency
- **Andrea Esp,** MPH, CPH, CHES, Public Health Emergency Response Coordinator, Division of Epidemiology and Public Health Preparedness, Washoe County Health District (NV)
- **Onora Lien,** MA, Executive Director, Northwest Healthcare Response Network (WA)
- **Alexandra Little**, Regional Public Health Preparedness Coordinator, South Dakota Department of Health
- **Bob Mauskapf,** MPA, Colonel, USMC (ret), Director, Office of Emergency Preparedness, Virginia Department of Health
- **Deb Moeller**, Finance Director, Prairie Lakes Healthcare (SD)
- **Leslie Porth,** Ph.D., MPH, R.N., Senior Vice President of Strategic Quality Initiatives, Missouri Hospital Association